

#375
10-27-03

Attorney's Docket No. ARC 3116R1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
TRAUTMAN, Joseph C., et al.)	
)	Group Art Unit: UNK
Serial No:)	
)	Examiner: UNK
Filed:)	
)	
For:)	METHOD AND APPARATUS FOR
)	COATING SKIN PIERCING
)	MICROPROJECTIONS
)	
)	

11011 U.S. PTO
10/099604
03/15/02

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications and other information of which they are aware, which they believe an examiner may consider to be material to the patentability of the claims of this application, and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may contain "material" information pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is either "material" or "prior art" to the invention disclosed and claimed in the above-referenced application unless specifically designated as such. Applicants specifically reserve the right, where appropriate, to avoid or antedate any such reference by the appropriate arguments or showings under 37 C.F.R. § 1.131 and § 1.608, or any other appropriate means.

A completed PTO Form 1449 listing each reference which is not a U.S. Patent application is submitted herewith, and it respectfully requested that an Examiner initialed copy of the PTO Form 1449 be returned to the undersigned.

☒ A copy of each reference therein listed accompanies this Information Disclosure Statement.

-OR-

☐ NO copies of the references therein listed accompany this Information Disclosure Statement under 37 C.F.R. § 1.98(d), as each was previously cited by or submitted to the Patent Office in

prior application Serial No. , filed , which this application relies upon for an earlier filing date under 35 U.S.C. § 120.

This Information Disclosure Statement is not a representation that a search has been made or that no other information material to the patentability of this invention exists.

☒ This Information Disclosure Statement is being filed within three months of the filing or entry of the national stage of this application, or before the mailing of a first Office Action on the merits, whichever is later. No fee is required under 37 C.F.R. § 1.97(b). However, in the event that more than 90 days from filing have elapsed and a first Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the fee required under 37 C.F.R. 1.17(p) to Deposit Account Number 01-1173.

☐ This Information Disclosure Statement is being submitted *after* three months from the date of filing or entry of the national stage or this application, or *after* the mailing of a first Office Action, whichever is later, but *before* the mailing date of either a final Office Action or a Notice of Allowance.

☐ The Commissioner is hereby authorized to charge the fee required under 37 C.F.R. § 1.17(p) to Deposit Account 01-1173

-OR-

☐ Certification as specified in 37 C.F.R. § 1.97(e) follows:

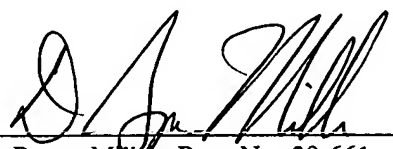
☐ *Each* item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of this statement.

☐ *No* item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

The Examiner is hereby requested to consider these references and other information and make them of record in the above-referenced application. Applicants respectfully submit that the claims of this application are patentable over the above-cited references and information. Examination and allowance of this application at an early date are requested.

Respectfully submitted,

Date: March 15, 2002

By: 
D. Byron Miller, Reg. No. 30,661

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on March 11, 2002.

ALZA CORPORATION

BY: _____

INFORMATION DISCLOSURE CITATION PTO-1449			ATTORNEY'S DOCKET NO.:		APPLICATION NO.:	
			ARC 3116 R1			
			APPLICANT: TRAUTMAN, Joseph C., et al.			
			FILING DATE:		GROUP:	

J1011 U.S. PTO
10/099604
03/15/02

US PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	Re. 25,637	Re. 09/64	Kravitz, H., et al.	128	253	12/52
	3,136,314	08/60	Kravitz, H.	128	253	08/60
	3,351,059	06/64	Kravitz, H.	128	253	06/64
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	3,964,482	06/76	Gerstel et al.	128	260	05/71
	4,109,655	08/78	Chacornac	128	253	10/76
	4,453,926	06/84	Galy	604	47	06/83
	5,250,023	10/93	Lee et al.	604	20	10/90
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	5,487,726	01/96	Rabenau et al.	604	46	06/94

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	EP 0 407 063 A1	01/91	Filipski, et al.	A61B	17/20	06/90
	WO 96/17648	11/96	Effenhauser, et al.	A61N	1/30	05/96
	WO 96/37155	11/96	Godshall, et al.	A61B	17/20	05/96
	WO 96/37256	11/96	Godshall, Ned A.	A61N	1/30	05/96
	WO 97/03718	02/97	Eicher, et al.	A61M	27/00	07/96
	WO 97/48440	12/97	Cormier, et al.	A61N	1/30	06/97
	WO 97/48441	12/97	Cormier, et al.	A61N	1/30	06/97
	WO 97/48442	12/97	Cormier, et al.	A61N	1/30	06/97
	WO 98/00193	01/98	Eppstein	A61M	31/00	07/97
	WO 98/11937	03/98	Kamen	A61M	37/00	09/97

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages Etc.)	
Application Serial No. 60/244,038 filed 10/26/2000	
EXAMINER:	DATE CONSIDERED:

Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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			FILING DATE:		GROUP:	

US PATENT DOCUMENTS						
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	6,050,988	04/2000	Zuck	604	890.1	12/98
	6,083,196	07/2000	Trautman, et al.	604	46	12/98
	6,091,975	07/2000	Daddona et al.	600	345	04/98

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EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	WO 98/28037	07/98	Theeuwes, et al.	A61M	37/00	12/97
	WO 99/29298	06/99	Trautman, et al.	A61M	37/00	12/98
	WO 99/29365	06/99	Zuck, et al.	A61M	1/30	12/98
	WO 99/64580	12/99	Prausnitz	C12N	15/00	06/99

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages Etc.)	
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